

# The Haagerup approximation property for discrete quantum groups

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# Amenable groups

## Definition

A discrete group  $\Gamma$  is amenable ( $C_r^*(\Gamma)$  is nuclear) if and only if there is a net of *finitely supported* positive definite functions  $f_i$  on  $\Gamma$  such that  $(f_i)$  forms an approximate identity for  $c_0(\Gamma)$ .

## Proof.

( $\Rightarrow$ ) Følner net.

( $\Leftarrow$ ) A finitely supported positive definite function is in the Fourier Algebra  $A(\Gamma)$  (the ultraweakly continuous functionals on  $VN(\Gamma)$ ). So we obtain a bounded net in  $A(\Gamma)$  converging pointwise to the constant function. Hence this is a bounded approximate identity for  $A(\Gamma)$ , and so  $\Gamma$  is amenable (Leinert).  $\square$

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# The Haagerup property

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So “finitely-supported” becomes “vanishes at infinity; i.e. in  $c_0(\Gamma)$ ”.

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- Groups acting on trees have HAP.
- Stable under (amalgamated over a finite subgroup) free products.

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## Applications to operator algebras

Let  $(M, \tau)$  be a finite von Neumann algebra, with GNS space  $L^2(M, \tau)$  and cyclic vector  $\xi_0$ . If  $\Phi : VN(\Gamma) \rightarrow VN(\Gamma)$  is positive,  $\tau \circ \Phi \leq \tau$ , and  $\Phi(x)^* \Phi(x) \leq \Phi(x^*x)$ , then there is a bounded map  $T$  on  $L^2(M, \tau)$  with

$$T(x\xi_0) = \Phi(x)\xi_0 \quad (x \in M).$$

### Theorem (Choda, 83)

*$\Gamma$  has the Haagerup approximation property if and only if  $VN(\Gamma)$  has the HAP, defined as: there is a net  $(\Phi_i)$  of normal UCP maps on  $VN(\Gamma)$ , approximating the identity point- $\sigma$ -weakly, and preserving the trace, such that the induced maps on  $\ell^2(\Gamma)$  are compact.*

This leads to the HAP for finite von Neumann algebras. [Jolissaint, '02] showed this is independent of the choice of trace.

# K-amenability etc.

## Theorem (Tu, 99)

*If  $\Gamma$  has HAP then  $\Gamma$  is K-amenable.*

“Morally”, this means that the left-regular representation  $\lambda : C^*(\Gamma) \rightarrow C_r^*(\Gamma)$  induces isomorphisms in K-theory,  $(\lambda)_* : K_i(C^*(\Gamma)) \rightarrow K_i(C_r^*(\Gamma))$ . Actually definition involves KK-theory.

## Theorem (Higson, Kasparov, 97, Tu, 99)

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# Quantum groups

## Definition (Woronowicz)

A compact quantum group is  $(A, \Delta)$  where  $A$  is a unital  $C^*$ -algebra,  $\Delta : A \rightarrow A \otimes A$  is a  $*$ -homomorphism which is “coassociative”:  
 $(\Delta \otimes \text{id})\Delta = (\text{id} \otimes \Delta)\Delta$ ; and such that “quantum cancellation” holds:

$$\text{lin}\{\Delta(a)(b \otimes 1) : a, b \in A\}, \quad \text{lin}\{\Delta(a)(1 \otimes b) : a, b \in A\}$$

are dense in  $A \otimes A$ .

Motivation: Let  $G$  be a compact semigroup, set  $A = C(G)$ , and define

$$\Delta : C(G) \rightarrow C(G \times G); \quad \Delta(f)(s, t) = f(st) \quad (f \in C(G), s, t \in G).$$

Then  $\Delta$  is coassociative as the product in  $G$  is associative, and quantum cancellation holds if and only if

$$st = st' \implies t = t', \quad ts = t's \implies t = t' \quad (s, t, t' \in G).$$

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# Compact groups to quantum groups

## Lemma

*A compact semigroup with cancellation is a group.*

The Haar (probability) measure (the unique invariant Borel measure on  $G$ ) induces a state  $\varphi$  in  $C(G)$  such that

$$(\varphi \otimes \text{id})\Delta(a) = (\text{id} \otimes \varphi)\Delta(a) = \varphi(a)1_G \quad (a \in C(G)).$$

(Remember that  $\Delta(f)(s, t) = f(st)$ , so  $\varphi \otimes \text{id})\Delta$  is integrating out the first variable.)

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## Discrete groups to quantum groups

Let  $\Gamma$  be a discrete group, and form  $C_r^*(\Gamma)$  acting on  $\ell^2(\Gamma)$ , generated by the left translation operators  $(\lambda_t)_{t \in \Gamma}$ . We claim that there is a  $*$ -homomorphism

$$\Delta : C_r^*(\Gamma) \rightarrow C_r^*(\Gamma) \otimes C_r^*(\Gamma); \quad \lambda_t \mapsto \lambda_t \otimes \lambda_t.$$

Proof: Fell absorption principle, or observe that

$$\Delta(x) = W^*(1 \otimes x)W \quad \text{for} \quad W(\delta_s \otimes \delta_t) = \delta_{t^{-1}s} \otimes \delta_t.$$

Then  $\Delta$  obviously coassociative and satisfies quantum cancellation:

$$\begin{aligned} (\Delta \otimes \text{id})\Delta &= (\text{id} \otimes \Delta)\Delta, \\ \overline{\text{lin}}\{\Delta(a)(b \otimes 1) : a, b \in C_r^*(\Gamma)\} &= \overline{\text{lin}}\{\Delta(a)(1 \otimes b) : a, b \in C_r^*(\Gamma)\} \\ &= C_r^*(\Gamma) \otimes C_r^*(\Gamma). \end{aligned}$$

# Universal case

If  $\varphi$  is the canonical trace on  $C_r^*(\Gamma)$  then

$$(\varphi \otimes \text{id})\Delta(a) = (\text{id} \otimes \varphi)\Delta(a) = \varphi(a)1 \quad (a \in C(G)).$$

Can also do all this with  $C^*(\Gamma)$ :

- here the existence of  $\Delta(\lambda_t) = \lambda_t \otimes \lambda_t$  follows by universality— the map  $t \mapsto \lambda_t \otimes \lambda_t$  is a unitary representation of  $\Gamma$ .

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## General case

In general, starting from  $(A, \Delta)$  can prove the existence of a “Haar state”  $\varphi$  on  $A$  with

$$(\varphi \otimes \text{id})\Delta(a) = (\text{id} \otimes \varphi)\Delta(a) = \varphi(a)1 \quad (a \in A).$$

Important that  $\varphi$  may fail to be a trace.

- Maybe  $\varphi$  won't be faithful, but can always quotient to obtain  $(A_r, \Delta_r)$ .
- On the GNS space  $L^2(\varphi)$  set  $M = A_r''$ .
- Then  $\Delta$  extends to  $M$  (because we can always construct a suitable unitary  $W$  with  $\Delta(\cdot) = W^*(1 \otimes \cdot)W$ ).
- Can always form a “universal” version of  $A$ , say  $A_U$ .
- Generalises the passage between  $C_r^*(\Gamma)$ ,  $C^*(\Gamma)$  and  $VN(\Gamma)$ .



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# Representation Theory

Dualising the notion of a group representation, we obtain:

## Definition

A finite-dimensional unitary corepresentation is  $U = (U_{ij}) \in M_n(A)$  with  $\Delta(U_{ij}) = \sum_{k=1}^n U_{ik} \otimes U_{kj}$ .

- The collection of all elements  $U_{ij}$  forms a dense  $*$ -subalgebra of  $A$ , say  $A_0$ , such that  $\Delta$  gives a map  $A_0 \rightarrow A_0 \odot A_0$ .
- In fact,  $(A_0, \Delta)$  is a Hopf  $*$ -algebra.
- For  $C(G)$  get the “polynomials” on  $G$ ; for  $C_r^*(\Gamma)$  get  $\mathbb{C}[\Gamma]$ .
- The enveloping  $C^*$ -algebra of  $A_0$  is the “full” or “universal” version of  $A$ , which we denoted  $A_U$ .
- Pick representatives of the irreducibles, say  $\{U^\alpha : \alpha \in \Lambda\}$ .
- Peter-Weyl theory:  $L^2(\varphi) \cong \bigoplus L^2(M_{n_\alpha}, \varphi_\alpha)$ . (Classically each  $\varphi_\alpha$  a trace, but maybe not here).

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# Dual groups

- The “dual group”  $\widehat{A} = \bigoplus M_{n_\alpha}$  carries a coassociative map  $\widehat{\Delta}$  (whose definition involves multiplier algebras).
- For  $C(G)$  we obtain  $C_r^*(G)$ ; for  $C_r^*(\Gamma)$  we obtain  $c_0(\Gamma)$
- Can axiomatise such objects: “discrete quantum groups” (van Daele).
- Can dualise again, and get back to the compact quantum group we started with.
- I’ll adopt the notation that  $\mathbb{G}$  is a discrete quantum group,  $C_r^*(\mathbb{G}) = A$ ,  $C^*(\mathbb{G}) = A_U$ ,  $VN(\mathbb{G}) = M$ .
- Similarly,  $c_0(\mathbb{G}) = \widehat{A}$  the  $C^*$ -algebra representing the discrete quantum group.
- The “left-regular representation” or “Fourier transform” is the map  $\lambda : C^*(\mathbb{G})^* \rightarrow \ell^\infty(\mathbb{G}) = M(c_0(\mathbb{G}))$ ;  $\mu \mapsto (\langle \mu, U_{ij}^\alpha \rangle) \in \prod M_{n_\alpha}$ .

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- The “dual group”  $\widehat{A} = \bigoplus M_{n_\alpha}$  carries a coassociative map  $\widehat{\Delta}$  (whose definition involves multiplier algebras).
- For  $C(G)$  we obtain  $C_r^*(G)$ ; for  $C_r^*(\Gamma)$  we obtain  $c_0(\Gamma)$
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# HAP

Work with Fima, Skalski, White.

## Definition

A “positive definite function” on  $\mathbb{G}$  is any element  $\lambda(\mu) \in \ell^\infty(\mathbb{G})$  with  $\mu \in \mathcal{C}^*(\mathbb{G})_+^*$ . (I.e. the Fourier transform of a positive functional.)

[D., Salmi, 13] give various intrinsic characterizations involving, mainly, certain completely positive maps.

## Definition

$\mathbb{G}$  has HAP if and only if there is a net  $(a_i)$  of positive definite functions, such that  $(a_i)$  forms an approximate identity for  $\mathcal{C}_0(\mathbb{G})$ .

If  $\mathbb{G}$  is “amenable” then [Tomatsu, 06] showed, in particular, that  $\mathbb{G}$  has HAP.

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# Various other characterisations?

As in the classical setting, we have various other characterisations:

- $\mathbb{G}$  has HAP if and only if there is a “mixing” representation of  $\mathbb{G}$  weakly containing the trivial representation.
- $\mathbb{G}$  has HAP if and only if mixing representations are dense.

Classically  $G$  has HAP iff it admits a proper, conditionally negative definite function.

- Working with the Hopf  $*$ -algebra, can get a notion of this for  $\mathbb{G}$ .
- Quantum probability ideas allow one to form semigroups (under the convolution product) which give our approximate identities.
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# For von Neumann algebras

We shall say that  $\mathbb{G}$  is of “Kac type” if  $\varphi$  is a trace on  $VN(\mathbb{G})$ .  
Equivalent to the antipode of  $c_0(\mathbb{G})$  or  $C_r^*(\mathbb{G})$  being bounded.

## Theorem (DFSW)

*If  $\mathbb{G}$  is of Kac type then  $\mathbb{G}$  has HAP if and only if  $VN(\mathbb{G})$  has HAP.*

## Proof.

( $\Rightarrow$ ) As in the classical case, states  $\mu$  on  $C^*(\mathbb{G})$  induce *multipliers* on  $VN(\mathbb{G})$  which are normal, UCP, and preserve  $\varphi$ . A calculation shows that the induced maps on  $L^2(\varphi)$  agree with  $\lambda(\mu)$ ; so if  $\lambda(\mu) \in c_0(\mathbb{G})$  they are compact.

( $\Leftarrow$ ) We use a (vaguely complicated) “averaging” argument to turn arbitrary normal UCP maps  $\Phi$  on  $VN(\mathbb{G})$  into multipliers. Then [D. 12] shows that CP multipliers come from states on  $C^*(\mathbb{G})$ . □

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## Examples

Let  $U_N^+ = (A_u(N), \Delta)$  be the free unitary quantum group:  $A_u(N)$  is the universal  $C^*$ -algebra generated by elements  $\{u_{ij} : 1 \leq i, j \leq N\}$  such that:

- $U = [u_{ij}]$  is unitary and  $\bar{U} = [u_{ij}^*]$  is unitary.
- need latter condition for quantum cancellation laws.
- $U_N^+$  is of Kac type, so has a trace.
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### Theorem (Brannan, 12)

$L^\infty(U_N^+)$  has the HAP.

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The discrete dual of  $U_N^+$  has HAP.

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# Question

## Theorem (DFSW)

*For all  $\mathbb{G}$ , if  $\mathbb{G}$  has HAP then  $VN(\mathbb{G})$  has HAP.*

Of course  $\varphi$  is not a trace anymore. . .

- There is a tight relation between quantum group theory and KMS states:  $\varphi$  is KMS on  $C_r^*(\mathbb{G})$  and  $C^*(\mathbb{G})$ .
- It's been suggested that maybe HAP for a state should include the condition that each map  $\Phi$  “commute” with the modular automorphism group.
- Not particularly clear for what values of “commute” this would be true, for the multipliers constructed above. . .
- Not clear what uses this definition might have. . .